ARCHITECTURAL EXAMINING BOARD[193B]

Notice of Intended Action

Twenty-five interested persons, a governmental subdivision, an agency or association of 25 or more persons may demand an oral presentation hereon as provided in Iowa Code section 17A.4(1)"b."

Notice is also given to the public that the Administrative Rules Review Committee may, on its own motion or on written request by any individual or group, review this proposed action under section 17A.8(6) at a regular or special meeting where the public or interested persons may be heard.

Pursuant to the authority of Iowa Code section 544A.29, the Architectural Examining Board hereby gives Notice of Intended Action to amend Chapter 4, "Rules of Conduct," Iowa Administrative Code.

The rules in Chapter 4 describe the rules of conduct for those who hold an Iowa architectural license.

The proposed amendments are a result of 2017 Iowa Acts, Senate File 408, which requires licensure rather than registration of architects practicing in this state. This bill passed the Iowa Senate on March 28, 2017, 49-0 and passed the Iowa House on April 6, 2017, 96-0; it was signed by then Governor Branstad on May 11, 2017. The proposed amendments change terminology from registered/registration to licensed/licensure.

Consideration will be given to all written suggestions or comments received on or before July 25, 2017. Comments should be directed to Lori SchraderBachar, Iowa Architectural Examining Board, 200 E. Grand Avenue, Suite 350, Des Moines, Iowa 50309. E-mail may be sent to lori.schraderbachar@iowa.gov.

A public hearing will be held on July 25, 2017, at 9 a.m. in the Board Office, 200 E. Grand Avenue, Suite 350, Des Moines, Iowa, at which time persons may present their views on the proposed amendments either orally or in writing. At the hearing, any person who wishes to speak will be asked to give the person's name and address for the record and to confine remarks to the subject of the proposed amendments.

Any persons who intend to attend the public hearing and have special requirements, such as those relating to hearing or mobility impairments, should contact the Board and advise of specific needs.

These proposed amendments were approved by the Board on May 19, 2017.

These amendments are subject to waiver or variance pursuant to 193—Chapter 5.

After analysis and review of this rule making, the Professional Licensing and Regulation Bureau determined that there will be no impact on jobs and no fiscal impact to the state.

These amendments are intended to implement Iowa Code chapter 544A as amended by 2017 Iowa Acts, Senate File 408.

The following amendments are proposed.

ITEM 1. Amend rule 193B—4.1(544A,17A), introductory paragraph, as follows:

193B—4.1(544A,17A) Rules of conduct. Failure by a <u>registrant licensee</u> to adhere to the provisions of Iowa Code sections 272C.10 and 544A.13 and the following rules of conduct shall be grounds for disciplinary action.

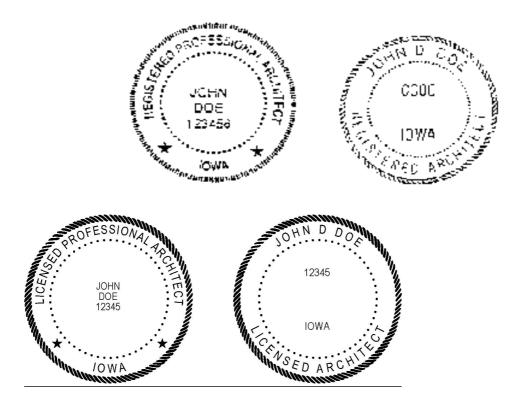
ITEM 2. Amend subrule 4.1(1) as follows:

4.1(1) *Definitions*. The following definition applies as used in Iowa Code chapter 544A and this chapter of the architectural examining board rules, unless the context otherwise requires.

"Responsible charge" means the amount of control over and detailed professional knowledge of the content of technical submissions during their preparation as is ordinarily exercised by a registered licensed architect applying the required professional standard of care, including but not limited to an architect's integration of information from manufacturers, suppliers, installers; the architect's consultants, owners, contractors; or other sources the architect reasonably trusts that is incidental to and intended to be incorporated into the architect's technical submissions if the architect has coordinated and reviewed such information. Other review, or review and correction, of technical submissions

after they have been prepared by others does not constitute the exercise of responsible charge because the reviewer has neither control over nor detailed professional knowledge of the content of such submissions throughout their preparation.

- ITEM 3. Amend subrule 4.1(4) as follows:
- **4.1(4)** Full disclosure.
- a. to c. No change.
- d. An architect shall not deliberately make a materially false statement or deliberately fail to disclose a material fact requested in connection with application for registration <u>licensure</u> or renewal of registration license.
- *e.* An architect shall not assist the application for registration <u>licensure</u> of a person known by the architect to be unqualified in respect to education, training, experience or character.
 - f. No change.
 - ITEM 4. Amend subrule 4.1(5) as follows:
 - **4.1(5)** *Compliance with laws.*
- a. An architect shall not, in the conduct of architectural practice, knowingly violate any state or federal criminal law. A "conviction" for purposes of this paragraph and Iowa Code section 544A.13 means a conviction for an indictable offense and includes the court's acceptance of a guilty plea, a deferred judgment from the time of entry of the deferred judgment until the time the defendant is discharged by the court without entry of judgment, or other finding of guilt by a court of competent jurisdiction. A copy of the record of conviction, guilty plea, deferred judgment, or other finding of guilt is conclusive evidence. A registered licensed architect shall notify the board of a conviction within 30 days of the conviction.
 - b. No change.
- c. An architect shall comply with the registration <u>licensing</u> laws and regulations governing the architect's professional practice in any United States jurisdiction.
- d. An <u>Iowa-registered Iowa-licensed</u> architect shall report to the board in writing any revocation, suspension, or other disciplinary action taken by a licensing authority in any other state or jurisdiction within 30 days of the final action.
 - ITEM 5. Amend subrule 4.1(6) as follows:
 - **4.1(6)** Professional conduct.
 - a. No change.
- b. An architect shall not sign or seal drawings, specifications, reports or other professional work for which the architect does not have direct professional knowledge and direct supervisory control; provided, however, that in the case of the portions of professional work prepared by the architect's consultants, registered <u>licensed</u> under this or another professional registration <u>licensing</u> law of this jurisdiction, the architect may sign or seal that portion of the professional work if the architect has reviewed that portion, has coordinated its preparation and intends to be responsible for its adequacy.
 - c. and d. No change.
 - ITEM 6. Amend subrule 4.1(7) as follows:
 - **4.1(7)** *Seal and certificate of responsibility.*
 - a. No change.
- b. Description of seal: The diameter of the outside circle shall be approximately 1¾ inches. The seal shall include the name of the <u>registered licensed</u> architect and the words "Registered <u>Licensed</u> Architect". The Iowa <u>registration license</u> number and the word "Iowa" shall be included. The seal shall substantially conform to the samples shown below:



c. No change.

d. Each technical submission submitted to a client or any public agency, hereinafter referred to as the official copy, shall contain an information block on its first page or on an attached cover sheet with application of a seal by the architect in responsible charge and an information block with application of a seal by each professional consultant contributing to the technical submission. The seal and original signature shall be applied only to a final technical submission. Each official copy of a technical submission shall be stapled, bound or otherwise attached together so as to clearly establish the complete extent of the technical submission. Each information block shall display the seal of the individual responsible for that portion of the technical submission. The area of responsibility for each sealing professional shall be designated in the area provided in the information block, so that responsibility for the entire technical submission is clearly established by the combination of the stated seal responsibilities. The information block will substantially conform to the sample shown below:

SEAL	I hereby certify that the portion of this technical submission described below was prepared by me or under my direct supervision and responsible charge. I am a duly registered licensed architect under the laws of the state of Iowa.
	Signature Date
	Printed or typed name License number My license renewal date is June 30,
	Pages or sheets covered by this seal:

e. to h. No change.